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PTO/SB 05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01065

First Inventor Matthew Steward Gebhard et al

Title Porous Films and Process.

Express Mail Label No. EF150161887US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Form and fee must be submitted for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 31]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on
- ☐ CD-ROM or CD-R (2 copies), or
 - ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information

Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

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Registration No. (Attorney/Agent)

36,697

Signature

Wendy A. Choi

Date

September 27, 2001

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$) 710

Complete if Known

Application Number	60 241.603
Filing Date	October 19, 2000
First Named Inventor	Matthew Stewart Gebhard et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	A01065

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number **18-1850**
Deposit Account Name **Rohm and Haas Company**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1.1	1.2	Basic Filing Fee	710
1.3	1.4	Examination Fee	
1.5	1.6	Publication Fee	
1.7	1.8	Pre-grant Publication Fee	
1.9	2.0	Post-grant Publication Fee	
SUBTOTAL(1)			(\$) 710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20** = (0)	X (0)	= (0)
2	-3** = (0)	X (0)	= (0)
SUBTOTAL (2)			(\$) 0

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
2.1	2.2	Examination Fee
2.3	2.4	Publication Fee
2.5	2.6	Pre-grant Publication Fee
2.7	2.8	Post-grant Publication Fee
SUBTOTAL (2)		

**or number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
3.1	3.2	3.3	3.4	3.5
3.6	3.7	3.8	3.9	4.0
4.1	4.2	4.3	4.4	4.5
4.6	4.7	4.8	4.9	5.0
5.1	5.2	5.3	5.4	5.5
5.6	5.7	5.8	5.9	6.0
6.1	6.2	6.3	6.4	6.5
6.6	6.7	6.8	6.9	7.0
7.1	7.2	7.3	7.4	7.5
7.6	7.7	7.8	7.9	8.0
8.1	8.2	8.3	8.4	8.5
8.6	8.7	8.8	8.9	9.0
9.1	9.2	9.3	9.4	9.5
9.6	9.7	9.8	9.9	10.0
SUBTOTAL (3)				(\$)

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697	Telephone	215-592-6758
Signature	<i>Wendy A. Choi</i>	Date	September 27, 2001		

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01065
SEJ:sd

In re application of:
Matthew Stewart Gebhard et al

Serial No: : Group Art Unit: Not yet assigned
Filed: Herewith : Examiner: Not yet assigned
For: POROUS FILMS AND PROCESS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

CERTIFICATE OF EXPRESS MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail with the U.S. Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal
Fee Transmittal (in duplicate)
Application
Preliminary Amendment
Declaration and Power of Attorney
Postcard

Sept. 22, 2001
Date

Shelley L. Lerner
Signature

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